

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

GRAFTON TECHNOLOGIES, INC.)

)
Application for Certificates of Service Authority)
to provide facilities-based and resold local)
exchange telecommunications services within)
the State of Illinois pursuant to Sections)
13-404 and 13-405 of the Public Utilities Act.)

Docket No. 00-0215

VERIFIED STATEMENT

GENERAL

1. Applicant's Name(including d/b/a, if any)

FEIN # 37-1351812

Grafton Technologies, Inc.

Address: Street 119 East Main Street, P.O. Box 188

City Grafton State/Zip IL 62037

2. Authority Requested: (Mark all that apply) 13-403 ☒ 13-404 ☒ 13-405

3. Request for waivers/variances: In applications for exchange service authority under Sections 13-404 or 13-405, waivers of Part 710 and of Section 735.180 of Part 735 are generally requested. In applications for interexchange service authority under Sections 13-403 and 13-404, waivers of Part 710 and Part 735 are generally requested. Please indicate which waivers Applicant is requesting.

☒ Part 710 ☐ Part 735 ☒ Section 735.180 ☐ Other

4. In what area of the state does the Applicant propose to provide service?

The State of Illinois

5. Please attach a sheet designating contact persons to work with Staff on the following:

- a) Issues related to processing this application
b) consumer issues

See Attachment A

OFFICIAL FILE

ILL. C. C. DOCKET NO. 00-0215

Applicant's Exhibit No. B

Witness _____

Date 5-8-00 Reporter CB

- Please identify each contact person's (i) name, (ii) title, (iii) mailing address, (iv) telephone number, (v) facsimile number, and (vi) e-mail address, if any.

- | | |
|--------------------------|-----------------------|
| <u>Paul W. Arnold</u> | <u>President</u> |
| <u>Michael Arnold</u> | <u>Vice President</u> |
| <u>Kevin W. Hamilton</u> | <u>Vice President</u> |

Patsy Arnold
Susan C. Hamilton

Treasurer
Secretary

14. Does any officer of Applicant have an ownership or other interest in any other entity which has provided or is currently providing telecommunications services? ☒ YES ☐ NO

If YES, list entity: Grafton Telephone Company, Grafton Long Distance Company

15. How will Applicant bill for its service(s)? Reputable Billing Company

16. How does Applicant propose to handle service, billing, and repair complaints?

Billing and repair will be handled by Grafton Telephone Company

17. Will personnel be available at Applicant's business office during regular working hours to respond to inquiries about service or billing? ☒ YES ☐ NO

18. What telephone number(s) would a customer use to contact your company?

888-574-4456

19. What are your procedures to prevent unauthorized "slamming" of customers?

Grafton Technologies will not permit "slamming" and will not change any customer's service unless it has a request in writing or with proper verification as required by law.

20. If granted authority to operate as a local exchange carrier, will the applicant abide by the following 83 Illinois Administrative Code Parts: 705, 710, 720, 725, 735, 755, 756, 757, 770, and 772?

☒ YES ☐ NO (If no, please provide an explanation.)

21. Will the applicant sign and return membership forms to the Universal Telephone Assistance Corporation and the Illinois Telecommunications Access Corporation? ☒ YES ☐ NO

FINANCIAL

22. Please attach evidence of applicant's financial fitness through the submission of its most current income statement and balance sheet, or other appropriate documentation of applicant's financial resources and ability to provide service.

See Prepared Direct Testimony of Michael Arnold.

TECHNICAL

23. Does Applicant utilize its own equipment and/or facilities? ☒ YES ☒ NO

If YES, please list: Equipment and/or facilities will be provided as needed, under an agreement to be made with Grafton Telephone Co.

If NO, which facility provider(s)'s services does Applicant use?

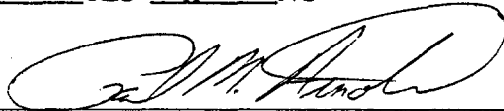
24. Please describe the nature of service to be provided (e.g., operator services, Internet, debit cards, long distance service, local service).

Local Service

25. Will technical personnel be available at all times to assist customers with service problems?

 x YES NO

26. If Applicant intends to provide payphone service, will the equipment utilized comply with FCC requirements and Finding (9) of the Commission Order entered in Docket No. 84-0442 on June 11, 1986, including, but not limited to: (a) touch dialing; (b) access to 9-1-1 and "0" operator dialing without use of a coin; (c) rules governing use of payphones by disabled persons; (d) ability to complete local and long-distance calls; (e) unlimited duration for local calls; and (f) a message explaining the telephone's general operations, dialing instructions for emergency assistance, payphone owner's name, method of reporting service problems and method of receiving credit for faulty calls? YES x NO



(Signature of Applicant)

VERIFICATION

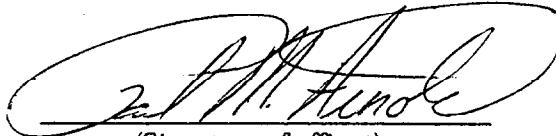
This application shall be verified under oath.

OATH

State of Illinois)
County of Jersey)ss

Michael Arnold makes oath and says that he is Vice President
(Insert here the name of affiant) (Insert the official title of the affiant)
of Grafton Technologies, Inc.
(Insert here the exact legal title or name of the Applicant)

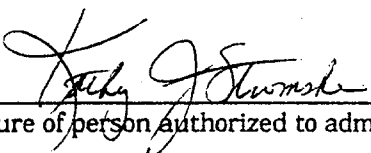
that he has examined the foregoing application and that to the best of his knowledge, information, and belief, all statements of fact contained in the said application are true, and the said application is a correct statement of the business and affairs of the above-named applicant in respect to each and every matter set forth therein.



(Signature of affiant)

Subscribed and sworn to before me, a Notary Public/ Kathy J. Stromske
(Title of person authorized to administer oaths)

in the State and County above named, this 3rd day of May, 2001.


(Signature of person authorized to administer oath)

DOCKET 00-0215

ATTACHMENT A

Answers to No. 5 on Worksheet

- a. Troy A. Fodor
- b. Michael Arnold
- c. Michael Arnold
- d. Michael Arnold
- e. Michael Arnold
- f. Michael Arnold
- g. Michael Arnold

STATE OF ILLINOIS
JERSEY COUNTY 00042214
Filed for record this 23 day of January 1996
10:00 a'clock AM Book 559 Page 0397
[Signature] Recorder
[Signature] Deputy

000291
State of Illinois
Office of
The Secretary of State

Whereas,

ARTICLES OF INCORPORATION OF
GRAFTON TECHNOLOGIES, INC.

INCORPORATED UNDER THE LAWS OF THE STATE OF ILLINOIS HAVE BEEN
FILED IN THE OFFICE OF THE SECRETARY OF STATE AS PROVIDED BY THE
BUSINESS CORPORATION ACT OF ILLINOIS, IN FORCE JULY 1, A.D. 1984.

Now Therefore, I, George H. Ryan, Secretary of State of the State of Illinois, by virtue of the powers vested in me by law, do hereby issue this certificate and attach hereto a copy of the Application of the aforesaid corporation.



C-212.2

In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, at the City of Springfield, this 18TH day of JANUARY A.D. 19 96 and of the Independence of the United States the two hundred and 20TH .

George H. Ryan

Secretary of State

ATTACHMENT B

(Rev. Jan. 1991)

George H. Ryan
Secretary of State
Department of Business Services
Springfield, IL 62758
Telephone (217) 782-6981

Payment must be made by certified check, cashier's check, Illinois attorney's check, Illinois C.P.A.'s check or money order, payable to "Secretary of State."

FILED

JAN 18 1996

GEORGE H. RYAN
SECRETARY OF STATE

SUBMIT IN DUPLICATE!

This space for use by
Secretary of State

Date 01-18-96

Franchise Tax \$ 25.00

Filing Fee \$ 75.00

Approved: A ~ 1/18/96

1. **CORPORATE NAME:** GRAFTON TECHNOLOGIES, INC.

(The corporate name must contain the word "corporation", "company", "incorporated", "limited" or an abbreviation thereof.)

2. Initial Registered Agent:	Paul	W.	Arnold
	First Name	Middle Initial	Last Name
Initial Registered Office:	119 E. Main Street		
	Number	Street	Suite #
	Grafton	62037	Jersey
	City	Zip Code	County

3. Purpose or purposes for which the corporation is organized:
(If not sufficient space to cover this point, add one or more sheets of this size.)

The transaction of any and all lawful business for which a corporation may be incorporated under the Business Corporation Act.

4. Paragraph 1: Authorized Shares, Issued Shares and Consideration Received:

Class	Par Value per Share	Number of Shares Authorized	Number of Shares Proposed to be Issued	Consideration to be Received Therefor
Common	\$ NPV	1,000	1,000	\$1,000.00

TOTAL \$ 1,000.00

Paragraph 2: The preferences, qualifications, limitations, restrictions and special or relative rights in respect of the shares of each class are: N/A
(If not sufficient space to cover this point, add one or more sheets of this size.)

EXPEDITED

JAN 18 1996

(over)

SECRETARY OF STATE

5. **OPTIONAL:** (a) Number of directors constituting the initial board of directors of the corporation: _____
 (b) Names and addresses of the persons who are to serve as directors until the first annual meeting of shareholders or until their successors are elected and qualify:

Name

Residential Address

6. **OPTIONAL:** (a) It is estimated that the value of all property to be owned by the corporation for the following year wherever located will be: \$ _____
 (b) It is estimated that the value of the property to be located within the State of Illinois during the following year will be: \$ _____
 (c) It is estimated that the gross amount of business that will be transacted by the corporation during the following year will be: \$ _____
 (d) It is estimated that the gross amount of business that will be transacted from places of business in the State of Illinois during the following year will be: \$ _____

7. **OPTIONAL: OTHER PROVISIONS**

Attach a separate sheet of this size for any other provision to be included in the Articles of Incorporation, e.g., authorizing preemptive rights, denying cumulative voting, regulating internal affairs, voting majority requirements, fixing a duration other than perpetual, etc.

8. **NAME(S) & ADDRESS(ES) OF INCORPORATOR(S)**

The undersigned incorporator(s) hereby declare(s), under penalties of perjury, that the statements made in the foregoing Articles of Incorporation are true.

Dated November 20, 19 95

- Signature and Name
 1. Grafton Communications, Inc., an
Illinois Corporation
 Signature Paul W. Arnold
 (Type or Print Name)
 2. Paul W. Arnold, President
 Signature
 Attest:
 (Type or Print Name)
 3. Susan C. Hamilton, Secretary
 Signature Susan C. Hamilton
 (Type or Print Name)

- Address
 1. 119 E. Main Street
 Street
Grafton, IL
 City/Town State Zip Code
 2. _____
 (Corporate Seal)
 3. _____
 City/Town State Zip Code

(Signatures must be in ink on original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.)

NOTE: If a corporation acts as incorporator, the name of the corporation and the state of incorporation shall be shown and the execution shall be by its President or Vice President and verified by him, and attested by its Secretary or Assistant Secretary.

FEE SCHEDULE

- The initial franchise tax is assessed at the rate of 15/100 of 1 percent (\$1.50 per \$1,000) on the paid-in capital represented in this state, with a minimum of \$25 and a maximum of \$1,000,000.
- The filing fee is \$75.
- The minimum total due (franchise tax + filing fee) is \$100.
(Applies when the Consideration to be Received as set forth in Item 4 does not exceed \$18,887)
- The Department of Business Services in Springfield will provide assistance in calculating the total fees if necessary.

Illinois Secretary of State
 Department of Business Services

Springfield, IL 62756
 Telephone (217) 782-6861

*Copy to Stephens Co.
 11.20.95*

AFFIDAVIT OF SERVICE

The undersigned, JUDY McCRORY, being first duly sworn on oath, deposes and states that on the 5th day of May, 2000, she served a copy of the foregoing instrument by personally delivering a copy thereof and/or mailing a copy thereof by United States Mail, postage prepaid, at Springfield, Illinois, to the individuals named below in envelopes plainly addressed to each of them.

Mr. John Albers
Hearing Examiner
Illinois Commerce Commission
527 East Capital Ave.
P.O. Box 19280
Springfield, Illinois 62794-9280

Ms. Judy Marshall
Telecommunications
Illinois Commerce Commission
527 East Capital Ave.
P.O. Box 19280
Springfield, Illinois 62794-9280

Ms. Cindy Jackson
Consumer Services
Illinois Commerce Commission
527 East Capital Ave.
P.O. Box 19280
Springfield, Illinois 62794-9280


JUDY A. McCRORY

Subscribed and sworn to by me
on this 5th day of May, 2000.

